

WOS:0004112252 00118



Article

Enumerative Optimization Procedure for the Gear Train Optimization Problem of a Two-Speed Dedicated Electric Transmission

Xiangyang Xu 1,2,3, Zhifeng Chen 1,2,3, Yanjing Liu 1,2,3, Peng Dong 1,2,3,* and Yanfang Liu 1,2,3

- School of Transportation Science and Engineering, Beihang University, 37 Xueyuan Road, Haidian District, Beijing 100191, China; xxy@buaa.edu.cn (X.X.); chenzhifeng@buaa.edu.cn (Z.C.); liuyanjing@buaa.edu.cn (Y.L.); liuyf@buaa.edu.cn (Y.L.)
- Beijing Key Laboratory for High-Efficient Power Transmission and System Control of New Energy Resource Vehicle, Beihang University, 37 Xueyuan Road, Haidian District, Beijing 100191, China
- DYNAVOLT TECH. and Beihang University Joint Lab for New Energy Resource Vehicle Transmission Technology, Beihang University, 37 Xueyuan Road, Haidian District, Beijing 100191, China
- * Correspondence: peng.dong@buaa.edu.cn; Tel.: +86-010-8233-8121

Received: 22 July 2017; Accepted: 5 September 2017; Published: 8 September 2017

Abstract: Gear train optimization problems (GTOPs) can be very difficult. This paper proposes an enumerative optimization procedure (EOP) for the GTOP of a two-speed dedicated electric transmission (2DET) for electric vehicles (EVs). The EOP combines enumeration with the Min-Max Principle of Optimality (MMPO). First, the requirements of the EV and the requirements of manufacture and operation were checked in a dedicated order to obtain the feasible region of the GTOP. Then, the MMPO was implemented within the feasible region to reveal the global optimum in terms of the performance of the EV, the load capacity of the gears and the size of the gear train (GT). Results demonstrated that the EOP was effective in determining the feasible region and simultaneously and globally optimizing multiple criteria for the GTOP. The idea of combining enumeration with optimization, as the EOP presents, may be helpful to solve other GTOPs and provide global optima that are immediately practical and applicable.

Keywords: gear train optimization problem (GTOP); enumerative optimization procedure (EOP); two-speed dedicated electric transmission (2DET); electric vehicle (EV); Min-Max Principle of Optimality (MMPO)

1. Introduction

Gear trains (GTs) have been widely used. However, since GTs are quite complex and subjective to design, many GTs are over-designed in terms of the working requirements. Therefore, mainly for better performance or lower cost, gear train optimizations (GTOs) are rather necessary.

Such optimizations are difficult for three main reasons. First, numerous guiding equations, graphs and tables have to be referenced. Second, several key design parameters, for example tooth numbers and moduli, are discrete. Third, some constraints, for example the constraints for bending fatigue and pitting fatigue, are nonlinear. Therefore, although many attempts have been made, GTOs are still developing.

Some GTOs largely depend on algebraic equation derivations or graphical methods. White and Sanger [1] deduced the gear size ratio expressions for a nine-speed GT based on the constraints of mesh conditions and speed ratios. The corresponding graphs of the expressions were used to reveal some typical design points for different optimization purposes. Based on the same constraints, Osman et al. [2] deduced some of the gear size ratio expressions in another way, discovering that only three

Energies **2017**, *10*, 1362 2 of 24

independent gear size ratios needed to be determined in the nine-speed GTO. Considering more constraints such as that of kinematics and tooth strength, Savage et al. [3] presented feasible design regions graphically for standard involute spur gear sets. They found the optimal designs mainly by analyzing the feasible region graphs. Similarly, Carroll and Johnson [4] formulated a dimensionless optimization model for spur gear sets and then investigated the optima mainly by referencing to dimensionless feasible region graphs. To explore the relationship between problem formulations and solution algorithms, Pomrehn and Papalambros [5] formulated the discrete nonlinear optimization problem for a four-stage spur GT in three equivalent ways, each of which required a different type of algorithms. In a sequel article [6], one of such problem formulations was further reformulated, allowing performing a series of infeasibility and non-optimality tests to significantly reduce the solution space of the problem. However, algebraic equation derivations or graphical methods proposed in those studies [1–6] are numerically indirect, which could become rather complex, inefficient and impractical for GTOs when the number of constraints or design variables is large.

Direct numerical methods are demanded for GTOs. Rao and Eslampour [7] presented a two-stage goal programming approach for the multi-objective optimization of multi-stage and multi-speed gearboxes. The kinematic design was first performed and then the strength design. Marjanovic et al. [8] proposed a two-stage optimization procedure for spur GTs. The GT concept was first optimized and then the parameters. Swantner and Campbell [9] proposed a three-stage optimization procedure for GTs consisting of simple, compound, bevel and worm gears. The topology, the gear specifications and the gear locations of the GT, respectively, were optimized at the three stages executed iteratively. However, one part of the whole optimization problem might be dependent on the others to some extent. Therefore, the multi-stage optimization methods for the GTOs in those studies [7–9] might lead to local optima, which might be unsatisfying.

To obtain satisfying solutions, some GTOs involve the interaction between the designer and the optimization procedure. Wang and Wang [10] developed an interactive multi-objective design environment for spur gear set optimizations. Huang et al. [11] proposed an interactive physical programming approach for the multi-objective optimization of a three-stage GT. In those studies [10,11], the optimization processes were directed interactively according to the designer's preferences on design objectives. However, such interactive frameworks [10,11] for GTOs require that the designer should monitor the execution of the optimization program till a satisfying solution is obtained, which might be relatively tiring especially when the running time is long.

To obtain satisfying optima without much manual intervention, random methods are adopted in some GTOs. Zarefar and Muthukrishman [12] developed a modified adaptive random-search algorithm to optimize a helical gear set. Each combination of a starting solution and a search direction, both randomly generated, was tried based on adaptive step sizes to obtain a feasible solution approaching to the constrained boundary, thus iteratively forming a feasible set. Then, the optimum was obtained within the feasible set by direct comparison of objective function values. Thompson et al. [13] presented an optimization tradeoff analysis method for multi-stage spur GTs. The multi-objective problem was formulated as a weighted-sum problem. Systematic variations of all the weightings produced a Pareto optimum set to be plotted and analyzed for discovering the satisfying optimum. Osyczka [14] developed a multi-objective optimization approach based on the Min-Max Principle of Optimality (MMPO) and applied the approach to the automatic design of gearboxes. The solutions for comparison in the min-max sense were randomly generated using the Monte Carlo method or the trade-off studies. Abuid and Ameen [15] proposed a two-stage procedure for accurately minimizing seven criteria of a two-stage spur GT. First, rough optimal solutions were obtained with the random mesh method and the MMPO. Then, to be more accurate, the rough optimal solutions were refined with the direct min-max search method. However, whether the outputs of the random methods in those studies [12–15] are satisfying greatly depends on the numbers of random trials. Therefore, the efficiencies of those methods might decrease in solving problems of large dimensions for satisfying optima.

Energies 2017, 10, 1362 3 of 24

In addition, advanced stochastic methods such as the genetic algorithms (GAs), the simulated annealing algorithms (SAAs) and the particle swarm optimization algorithms (PSOAs) are intensively used in some GTOs mainly because these methods can handle various types of design variables, objectives and constraints easily, requiring no information of functional derivatives. Yokota et al. [16] optimized the weight of a gear set with an improved GA. Marcelin [17] proposed a GA combined with a penalty selection method to optimize gear pairs. Mendi et al. [18] used a GA with a static penalty function incorporated in the fitness function to minimize the volume of a single-stage gearbox. Gologlu and Zeyveli [19] utilized a GA to minimize the total volume of a two-stage GT, with static and dynamic penalty function methods implemented to handle constraints. They found that the solutions from the implementation of the dynamic penalty function method were generally better than those from the implementation of the static one. Deb et al. [20] proposed an elitist non-dominated sorting GA to minimize the gear ratio error and the maximum tooth number of a two-stage GT. Deb and Jain [21] implemented the same algorithm to an eighteen-speed gearbox to maximize the power transmitted and minimize the total gear volume. The algorithm generated a set of well-distributed solutions in one single simulation run, providing an opportunity to discover some important design principles. Chong et al. [22] proposed a generalized methodology incorporated an SAA to preliminarily design basic gear parameters and configurations to globally minimize the total volumes of multi-stage GTs. Savsani et al. [23] applied a GA, an SAA and a PSOA to minimize the weight of a spur gear set. The results showed that the PSOA and the SAA were more effective and efficient than the GA. However, numerous trials, especially for inexperienced designers, might have to be performed to appropriately determine several parameters for the advanced stochastic methods used in those studies [16-23] since the efficacies of those methods significantly depend on such parameters. Thus, such a requirement might actually increase the total times for those methods to obtain global optima.

The authors propose an enumerative optimization procedure (EOP) incorporating the MMPO to directly and globally optimize the GT of a two-speed dedicated electric transmission (2DET) for electric vehicles (EVs). The enumeration technique is preferred to the random methods [12–15] and the advanced stochastic methods [16–23] because the number of the possible solutions to the 2DET could be well limited considering practical manufacturing requirements and a high-performance computer could scan and evaluate all the candidates efficiently. In addition, multiple criteria are simultaneously optimized for the 2DET using the MMPO to find global optima since the MMPO could well represent the real optimization purposes of designers in an intuitive, reasonable and comprehensive way.

The following presents the EOP in detail, illustrated by the GTO of the 2DET. Section 2 introduces the optimization problem of the GT of the 2DET. Section 3 evaluates the constraints in a dedicated order, determining the feasible region of the problem gradually. Section 4 implements the MMPO to the feasible region. Then, the optimization results are displayed and discussed in Section 5. Section 6 concludes the presented work.

2. GT of the 2DET

The GT of the 2DET in this paper is relatively simple (see Figure 1). The GT has six gears, G11, G12, G21, G22, G31 and G32. G11 and G21 can selectively connect to the input shaft, connecting to the motor. G12, G22 and G31 are fixed on the countershaft. G32 is fixed on the differential, connecting to the driving wheels. G11 and G12, G21 and G22, and G31 and G32, respectively, compose G1, G2 and G3, the three gear pairs. G1 and G2 are coaxial. At the neutral gear, the power cannot flow between the input shaft and the differential; at the first gear, the power flows through G1 and G3; and, at the second gear, through G2 and G3.

Energies 2017, 10, 1362 4 of 24

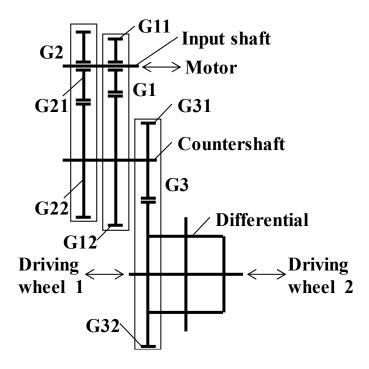


Figure 1. Gear train (GT) of the two-speed dedicated electric transmission (2DET).

To appropriately simplify the GTO problem (GTOP) of the 2DET, several assumptions are made. First, all the gears in the 2DET are standard involute gears. Second, the gear working widths of G1, G2 and G3 are respectively 20 mm, 22 mm and 30 mm. Third, the common helical angle of the three gear pairs is 18°. Fourth, the coaxial G1 and G2 share a common gear modulus. Accordingly, the tooth numbers and the moduli of all the six gears are the remaining parameters to basically determine the gears, and are thus chosen to be the design variables. The design variables are constrained by the requirements of the EV (see Table 1) and the requirements of manufacture and operation. The optima of these design variables should be determined by simultaneously optimizing the performance of the EV, the load capacity of the gears and the size of the GT. Although simplified as mentioned above, the GTOP is still quite complicated in terms of the design variables, the constraints and the objectives.

Parameter	Value	Parameter	Value	
$T_{M max}$	270 Nm	v_{ramp}	0.6 km/h	
f	0.015	$ heta_{EV ext{max}}$	30%	
m_{gross}	2256 kg	8	$9.807 \mathrm{m/s^2}$	
$\overset{\circ}{C}_{D}$	0.33	$\overset{\circ}{ ho}$	$1.2258 \text{ Ns}^2/\text{m}^4$	
\overline{A}	2.2 m^2	v _{air}	0 km/h	
r_{wheel}	0.3216 m	μ	0.8	
m_{front}	1139 kg	$v_{EV{ m max}}$	150 km/h	
$n_{ m Mmax}$	7000 r/min	$t_{acc_100~{ m km/h}}$	≤12 s	
$W_{60 \text{ km/h}}$	≤16 kWh	$ar{W}_{NEDC}$	≤18 kWh	
$T_{M_startup_max}$	283.8398 Nm	d_{MG32}	214 mm	

Table 1. Parameters and requirements of the electric vehicle (EV).

3. Enumeration

Enumeration is direct and practical for determining the feasible regions of the problems with a limited number of possible solutions. The number of the possible solutions of the GTOP is well limited not only because the tooth numbers must be integers and should not be too large or too small but also because the moduli should belong to discrete and limited standard series. Therefore, before the

Energies **2017**, 10, 1362 5 of 24

optimal design solutions are obtained, all the candidates are enumerated and evaluated here within the following scopes to determine the feasible region of the GTOP,

$$\begin{cases} x_{1}, x_{2}, x_{3}, x_{4}, x_{5}, x_{6} \in S_{toothnumber}, S_{toothnumber} = \{17, 18, \dots, 100\} \\ x_{7} \in S_{G1G2module}, S_{G1G2module} = \{1.25 \text{ mm}, 1.50 \text{ mm}, 2.00 \text{ mm}\} \\ x_{8} \in S_{G3module}, S_{G3module} = \{2.00 \text{ mm}, 2.50 \text{ mm}, 3.00 \text{ mm}\} \end{cases}$$
(1)

where x_1, x_2, \ldots , and x_8 are all the design variables of the GTOP; x_1, x_2, \ldots , and x_6 , respectively, the tooth numbers of G11, G12, G21, G22, G31 and G32; x_7 the common modulus of G1 and G2; x_8 the modulus of G3; $S_{toothnumber}$ the scope of x_1, x_2, \ldots , and x_6 ; and $S_{G1G2module}$ and $S_{G3module}$, respectively, the scopes of x_7 and x_8 . Such scopes are established mainly based on engineering experience. According to these scopes, the total number of the possible solutions can be up to

$$(100 - 17 + 1)^6 \times 3 \times 3 = 3.1617 \times 10^{12}$$

Because of such an enormous quantity of the possible solutions, the evaluation of each possible solution with all the constraints can be impractical. Therefore, the enumeration procedure is elaborated in the following order.

3.1. Tooth Numbers of a Gear Pair

The tooth numbers of a gear pair are preferred to be coprime for the uniform abrasion of the gear pair. Furthermore, the tooth number sum of a gear pair in a vehicle automatic transmission is preferred to be not less than 50 [24] and not larger than 120 [25]. Thus, $S_{geartoothnumber}$, the set of the feasible tooth number combinations of a gear pair, can be expressed as

$$S_{geartoothnumber} = \left\{ (n_1, n_2) \middle| \left\{ \begin{array}{l} (n_1, n_2) = 1\\ 50 \le n_1 + n_2 \le 120\\ n_1, n_2 \in S_{toothnumber} \end{array} \right\}$$
 (2)

where n_1 and n_2 are the tooth numbers of a gear pair; and $(n_1, n_2) = 1$ means n_1 and n_2 are coprime. Figure 2 shows the scheme of the enumeration for the tooth numbers of a gear pair.

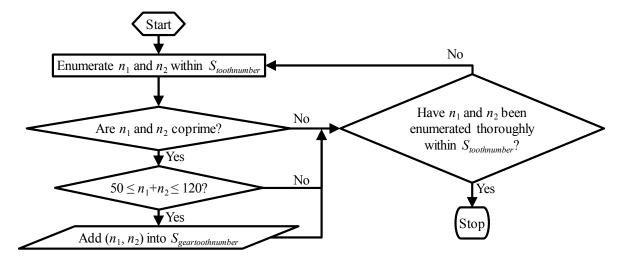


Figure 2. Scheme of the enumeration for the tooth numbers of a gear pair.

Energies 2017, 10, 1362 6 of 24

3.2. Tooth Numbers of G1 and G2

The following equations show that the gear step ratio λ only depends on the tooth numbers of G1 and G2,

$$i_{G1} = \frac{x_2}{x_1} \tag{3}$$

$$i_{G2} = \frac{x_4}{x_3} \tag{4}$$

$$i_{G3} = \frac{x_6}{x_5} \tag{5}$$

$$i_1 = i_{G1}i_{G3} = \frac{x_2x_6}{x_1x_5} \tag{6}$$

$$i_2 = i_{G2}i_{G3} = \frac{x_4x_6}{x_3x_5} \tag{7}$$

$$\lambda = \frac{i_1}{i_2} = \frac{x_2 x_3}{x_1 x_4} \tag{8}$$

where i_{G1} , i_{G2} and i_{G3} are, respectively, the gear ratios of G1, G2 and G3; and i_1 and i_2 , respectively, the first and the second gear ratio. Therefore, the scope of λ can be a constraint for the tooth numbers of G1 and G2. Obviously, i_1 should be greater than i_2 , which means

$$\lambda = \frac{i_1}{i_2} > 1 \tag{9}$$

Besides, usually

$$\lambda \le 2 \tag{10}$$

Furthermore, investigating the scopes of i_1 and i_2 can provide additional lower and upper limits of λ . The climbing ability of the EV requires that

$$T_{M\max}i_1 \ge \left\{ \left[\operatorname{sgn}(v_{ramp}) f \cos(\operatorname{arctan}(\theta_{EV\max})) + \sin(\operatorname{arctan}(\theta_{EV\max})) \right] m_{gross}g + 0.5C_D \rho A (v_{ramp} - v_{air})^2 \right\} r_{wheel}$$
(11)

where $T_{M\max}$ is the maximum motor torque, sgn() the sign function, v_{ramp} the vehicle climbing velocity (specified according to GB/T 18385-2005 [26]), f the rolling resistance coefficient, $\theta_{EV\max}$ the required maximum ramp gradient, m_{gross} the gross mass of the EV, g the acceleration of gravity, C_D the air drag coefficient, ρ the air density, A the front area, v_{air} the air velocity, and r_{wheel} the wheel radius. Therefore, $i_{1\min}$, the minimum of i_1 , can be obtained by

$$i_{\text{lmin}} = \left\{ \left[\text{sgn}(v_{ramp}) f \cos(\arctan(\theta_{EV \text{max}})) + \sin(\arctan(\theta_{EV \text{max}})) \right] m_{gross} g + 0.5 C_D \rho A (v_{ramp} - v_{air})^2 \right\} \frac{r_{wheel}}{T_{M \text{max}}}$$
(12)

The adhesion limit of the front-wheel-drive EV requires that

$$T_{M\max}i_1 \le \mu m_{front}gr_{wheel} \tag{13}$$

where μ is the adhesion coefficient and m_{front} the front axle laden mass. Therefore, $i_{1\text{max}}$, the maximum of i_1 , can be obtained by

$$i_{1\text{max}} = \mu m_{front} g \frac{r_{wheel}}{T_{\text{Mmax}}} \tag{14}$$

To achieve the required maximum vehicle speed $v_{EV\max}$ at the second gear, the following conditions should be met:

$$\frac{n_{\text{Mmax}}r_{\text{wheel}}}{i_2} \ge v_{EV\text{max}} \tag{15}$$

Energies **2017**, 10, 1362 7 of 24

$$P_{M EV maxspeed} \ge P_{DR EV maxspeed}$$
 (16)

where $n_{M\max}$ is the maximum motor speed, $P_{M_EV\max speed}$ the available motor power at $v_{EV\max}$, and $P_{DR_EV\max speed}$ the driving resistance power of the EV at $v_{EV\max}$. Figure 3 shows the relationship between the available motor power $P_{M_available}$ and the motor speed n_M . Based on such relationship,

$$P_{M_available} = P_{M_EV_{maxspeed}}$$
 when $n_M = n_{M_EV_{maxspeed}}$ (17)

where $n_{M_EV_{maxspeed}}$ is the motor speed corresponding to $v_{EV_{max}}$ and

$$n_{M_EV_{\text{max}speed}} = \frac{i_2 v_{EV_{\text{max}}}}{r_{wheel}} \tag{18}$$

 $P_{DR_EV \text{maxspeed}}$ can be calculated by

$$P_{DR_EV\text{maxspeed}} = \left[\text{sgn}(v_{EV\text{max}}) f m_{gross} g + 0.5 C_D \rho A (v_{EV\text{max}} - v_{air})^2 \right] v_{EV\text{max}}$$
(19)

The motor speed where

$$P_{M_available} = P_{DR_EV_{maxspeed}}$$
 (20)

is defined as n_{M_PDR} and calculated by interpolation. According to Figure 3, Equation (16) leads to

$$n_{M_EV_{\text{maxspeed}}} \ge n_{M_PDR}$$
 (21)

Therefore, according to Equations (15), (18) and (21),

$$i_{2\text{max}} = \frac{n_{\text{Mmax}} r_{\text{wheel}}}{v_{EV\text{max}}} \tag{22}$$

$$i_{2\min} = \frac{n_{M_PDR}r_{wheel}}{v_{EV_{max}}} \tag{23}$$

where $i_{2\text{max}}$ and $i_{2\text{min}}$ are, respectively, the maximum and minimum of i_2 .

Thus,

$$\frac{i_{1\min}}{i_{2\max}} \le \lambda = \frac{i_1}{i_2} \le \frac{i_{1\max}}{i_{2\min}} \tag{24}$$

Moreover, according to the assumptions made in Section 2, the tooth number sums of G1 and G2 should be the same, which means

$$x_1 + x_2 = x_3 + x_4 \tag{25}$$

Therefore, $S_{G1G2toothnumber}$, the set of feasible tooth number combinations of G1 and G2, can be expressed as

$$S_{G1G2toothnumber} = \left\{ (x_1, x_2, x_3, x_4) \middle| \begin{cases} 1 < \lambda \le 2 \\ \frac{i_{1\min}}{i_{2\max}} \le \lambda \le \frac{i_{1\max}}{i_{2\min}} \\ x_1 + x_2 = x_3 + x_4 \\ (x_1, x_2), (x_3, x_4) \in S_{geartoothnumber} \end{cases} \right\}$$
(26)

Figure 4 shows the scheme of the enumeration for the tooth numbers of G1 and G2.

Energies 2017, 10, 1362 8 of 24

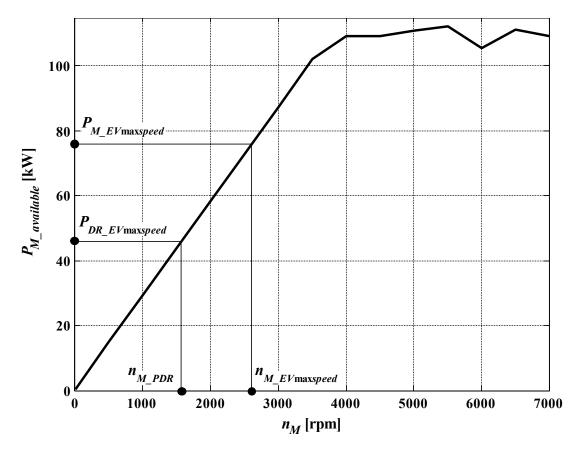


Figure 3. Motor power-to-speed external characteristics.

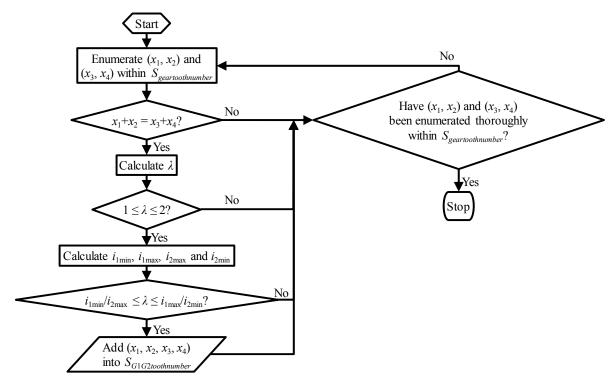


Figure 4. Scheme of the enumeration for the tooth numbers of G1 and G2.

Energies 2017, 10, 1362 9 of 24

3.3. Tooth Numbers of G1, G2 and G3

Engineering experience usually suggests that

$$i_{G1} \le i_{G3} \le 4.5$$
 (27)

Besides, the scopes of i_1 and i_2 are

$$i_{1\min} \le i_1 \le i_{1\max} \tag{28}$$

$$i_{2\min} \le i_2 \le i_{2\max} \tag{29}$$

Therefore, $S_{G1G2G3toothnumber}$, the set of feasible tooth number combinations of G1, G2 and G3, can be expressed as

$$S_{G1G2G3toothnumber} = \left\{ (x_1, x_2, x_3, x_4, x_5, x_6) \middle| \begin{cases} i_{G1} \leq i_{G3} \leq 4.5\\ i_{1\min} \leq i_1 \leq i_{1\max}\\ i_{2\min} \leq i_2 \leq i_{2\max}\\ (x_1, x_2, x_3, x_4) \in S_{G1G2toothnumber}\\ (x_5, x_6) \in S_{geartoothnumber} \end{cases} \right\}$$
(30)

Figure 5 shows the scheme of the enumeration for the tooth numbers of G1, G2 and G3.

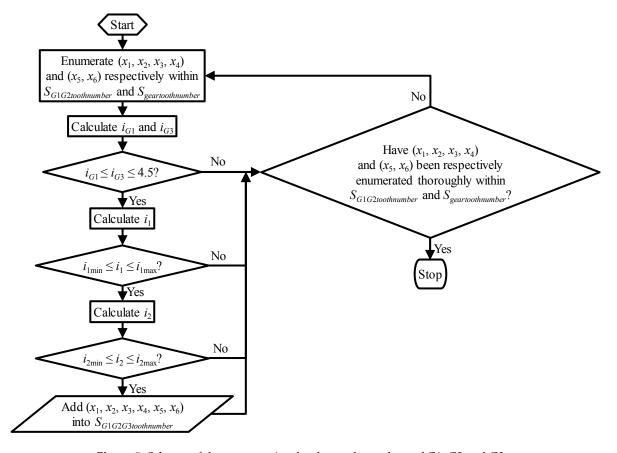


Figure 5. Scheme of the enumeration for the tooth numbers of G1, G2 and G3.

3.4. Acceleration Time

 $t_{acc_100~{\rm km/h}}$, the 0–100 km/h acceleration time, is a criterion for evaluating the power performance of the EV. For each tooth number combination belonging to $S_{G1G2G3toothnumber}$, v_{shift} , the shift speed

Energies 2017, 10, 1362 10 of 24

for the 0–100 km/h acceleration test, should be determined before calculating $t_{acc_100~{\rm km/h}}$. The motor torque-to-speed external characteristic can be converted into the vehicle torque-to-speed external characteristics of the first and the second gear, based on which v_{shift} is determined. Figure 6 shows an example of the conversion of the characteristics. The first intersection of the two vehicle torque-to-speed curves can be derived with interpolation. The vehicle speed at the intersection is v_{shift} . However, if v_{shift} is larger than $v_{Mmaxspeed1}$, the vehicle speed corresponding to n_{Mmax} at the first gear, then $v_{Mmaxspeed1}$ is treated as v_{shift} . $v_{Mmaxspeed1}$ can be obtained by

$$v_{\text{Mmaxspeed1}} = \frac{n_{\text{Mmax}}r_{\text{wheel}}}{i_1} \tag{31}$$

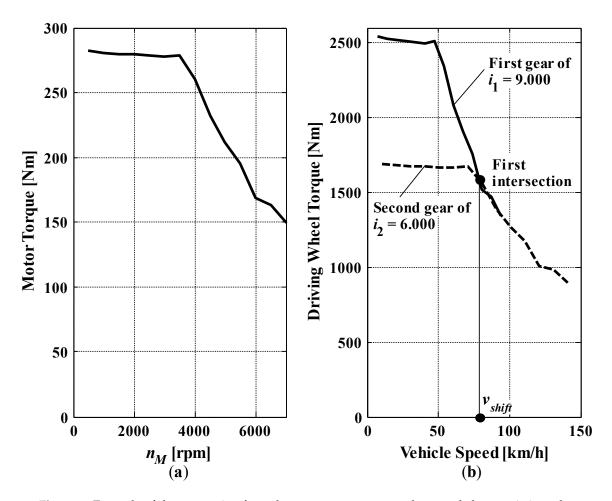


Figure 6. Example of the conversion from the motor torque-to-speed external characteristic to the vehicle torque-to-speed external characteristics: (a) motor torque-to-speed external characteristic; and (b) vehicle torque-to-speed external characteristics of the first and the second gear.

The 0–100 km/h acceleration process of the EV is considered in a discrete way. The constant acceleration time step Δt_{acc} is 0.1 s. The *i*-th acceleration time instance t_{acc_i} is

$$t_{acc\ i} = (i-1)\Delta t_{acc}\ (i=1,2,3,...)$$
 (32)

The EV speed at t_{acc_i} is defined as v_{acc_i} , and

$$v_{acc_{-}i} = \begin{cases} 0 \text{ km/h } (i=1) \\ v_{acc_{-}i-1} + a_{acc_{-}i-1} \Delta t_{acc} \ (i=2,3,4,\ldots) \end{cases}$$
(33)

Energies **2017**, *10*, 1362

where v_{acc_i-1} and a_{acc_i-1} are, respectively, the EV speed and the EV acceleration at the (i-1)-th acceleration time instance t_{acc_i-1} . a_{acc_i-1} can be derived from

$$a_{acc_i-1} = \frac{T_{wheel_acc_i-1} - T_{DR_acc_i-1}}{r_{wheel} m_{gross}} (i = 2, 3, 4, \ldots)$$
(34)

where $T_{wheel_acc_i-1}$ and $T_{DR_acc_i-1}$ are, respectively, the driving torque and the driving resistance torque at t_{acc_i-1} . $T_{wheel_acc_i-1}$ can be interpolated by v_{acc_i-1} based on the vehicle torque-to-speed external characteristics and v_{shift} . If v_{acc_i-1} is smaller than v_{shift} , the vehicle torque-to-speed external characteristic of the first gear is used to interpolate $T_{wheel_acc_i-1}$; otherwise, the vehicle torque-to-speed characteristic of the second gear is used. $T_{DR_acc_i-1}$ can be obtained using

$$T_{DR_acc_i-1} = \left[sgn(v_{acc_i-1}) fm_{gross} g + 0.5 C_D \rho A (v_{acc_i-1} - v_{air})^2 \right] r_{wheel}$$
 (35)

The first time v_{acc_i} reaches 100 km/h, the corresponding acceleration time instance is recorded as $t_{acc_100 \text{ km/h}}$.

According to Table 1, $t_{acc_100 \text{ km/h}}$ should be constrained by

$$t_{acc\ 100\ \text{km/h}} \le 12\ \text{s}$$
 (36)

Therefore, S_{acc} , the set of feasible tooth number combinations of G1, G2 and G3 with consideration on the acceleration time, can be expressed as

$$S_{acc} = \left\{ (x_1, x_2, x_3, x_4, x_5, x_6) \middle| \left\{ \begin{array}{l} t_{acc_100 \text{ km/h}} \le 12 \text{ s} \\ (x_1, x_2, x_3, x_4, x_5, x_6) \in S_{G1G2G3toothnumber} \end{array} \right\}$$
(37)

Figure 7 shows the scheme of the enumeration for the acceleration time.

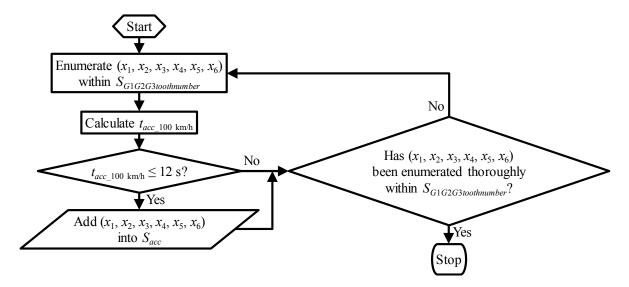


Figure 7. Scheme of the enumeration for the acceleration time.

3.5. Energy Consumption

For each element of S_{acc} , both the 100 km energy consumption at a constant speed of 60 km/h and that according to the New European Driving Cycle (NEDC) [27] (see Figure 8) are criteria for evaluating the economic performance of the EV, respectively, denoted as $W_{60 \text{ km/h}}$ and W_{NEDC} . Calculating $W_{60 \text{ km/h}}$ and W_{NEDC} requires a knowledge of the efficiency of each power-transition

Energies **2017**, *10*, 1362

component, such as the battery, the inverter, the motor and the 2DET. For simplification, the efficiencies of all the power-transition components but the motor are assumed to be 100%.

 $W_{60 \text{ km/h}}$ can be calculated by

$$W_{60 \text{ km/h}} = \frac{100 \text{ km}}{60 \text{ km/h}} P_{Consumed_60 \text{ km/h}}$$
(38)

where $P_{Consumed_60 \text{ km/h}}$ is the consumed power of the EV at 60 km/h. $P_{Consumed_60 \text{ km/h}}$ can be obtained by

$$P_{Consumed_60 \text{ km/h}} = \frac{P_{DR_60 \text{ km/h}}}{\eta_{M 60 \text{ km/h}}}$$
(39)

where $P_{DR_60 \text{ km/h}}$ is the driving resistance power of the EV at 60 km/h and $\eta_{M_60 \text{ km/h}}$ the motor efficiency at 60 km/h. $P_{DR_60 \text{ km/h}}$ can be obtained by

$$P_{DR_60 \text{ km/h}} = \left[f m_{gross} g + 0.5 C_D \rho A (60 \text{ km/h} - v_{air})^2 \right] 60 \text{ km/h}$$
(40)

 $\eta_{M 60 \text{ km/h}}$ can be obtained by

$$\eta_{M 60 \text{ km/h}} = \max(\eta_{M 60 \text{ km/h 1}}, \eta_{M 60 \text{ km/h 2}}) \tag{41}$$

where $\eta_{M=60~\mathrm{km/h_1}}$ and $\eta_{M=60~\mathrm{km/h_2}}$ are, respectively, the motor efficiencies of the first and the second gear at 60 km/h. $\eta_{M=60~\mathrm{km/h_1}}$ and $\eta_{M=60~\mathrm{km/h_2}}$ can be obtained based on the motor efficiency map (see Figure 9) with $P_{DR=60~\mathrm{km/h}}$, $n_{M=60~\mathrm{km/h_1}}$ and $n_{M=60~\mathrm{km/h_2}}$, where $n_{M=60~\mathrm{km/h_1}}$ and $n_{M=60~\mathrm{km/h_2}}$ are, respectively, the required motor speeds of the first and the second gear at 60 km/h, and

$$n_{M_{-60 \text{ km/h}_{-1}}} = \frac{60 \text{ km/h} \cdot i_1}{r_{wheel}} \tag{42}$$

$$n_{M_{60 \text{ km/h}} = \frac{60 \text{ km/h} \cdot i_2}{r_{wheel}}$$
(43)

 W_{NEDC} can be obtained by

$$W_{NEDC} = \sum_{i=1}^{iend} 0.5 (P_{Consumed_NEDC_i} + P_{Consumed_NEDC_i+1}) \Delta t_{NEDC}$$
 (44)

where iend is the index meaning that, at the (iend+1)-th NEDC time instance t_{NEDC_iend+1} , the driving distance first reaches 100 km; $P_{Consumed_NEDC_i}$ and $P_{Consumed_NEDC_i+1}$, respectively, the consumed powers of the EV at the i-th and the (i+1)-th NEDC time instance t_{NEDC_i} and t_{NEDC_i+1} ; and Δt_{NEDC} the constant NEDC time step of 1 s. i-end is determined by the following equation system

$$\begin{cases} \sum_{i=1}^{iend-1} 0.5(v_{NEDC_{-}i} + v_{NEDC_{-}i+1}) \Delta t_{NEDC} < 100 \text{ km} \\ \sum_{i=0}^{iend} 0.5(v_{NEDC_{-}i} + v_{NEDC_{-}i+1}) \Delta t_{NEDC} \ge 100 \text{ km} \end{cases}$$
(45)

where v_{NEDC_i} and v_{NEDC_i+1} are, respectively, the EV speeds at t_{NEDC_i} and t_{NEDC_i+1} . t_{NEDC_i} can be obtained with

$$t_{NEDC\ i} = (i-1)\Delta t_{NEDC}, i = 1, 2, \dots, iend + 1$$
 (46)

and $P_{Consumed\ EVNEDC\ i}$ can be obtained with

$$P_{Consumed_NEDC_i} = \begin{cases} \frac{P_{DR_NEDC_i}}{\eta_{M_NEDC_i}} & \text{if } P_{DR_NEDC_i} > 0\\ P_{DR_NEDC_i}\eta_{M_NEDC_i} & \text{otherwise} \end{cases}$$

$$(47)$$

Energies 2017, 10, 1362 13 of 24

where $P_{DR_NEDC_i}$ is the driving resistance power of the EV at t_{NEDC_i} , and $\eta_{M_NEDC_i}$ the motor efficiency at t_{NEDC_i} . $P_{DR_NEDC_i}$ can be calculated by

$$P_{DR_NEDC_i} = \left[\operatorname{sgn}(v_{NEDC_i}) f m_{gross} g + 0.5 C_D \rho A (v_{NEDC_i} - v_{air})^2 + m_{gross} a_{NEDC_i} \right] v_{NEDC_i}$$
 (48)

where a_{NEDC_i} is the EV acceleration at t_{NEDC_i} . a_{NEDC_i} can be calculated by

$$a_{NEDC_i} = \frac{v_{NEDC_i+1} - v_{NEDC_i}}{\Delta t_{NEDC}}$$
(49)

Before determining $\eta_{M_NEDC_i}$, the working gear at t_{NEDC_i} should be determined. The required motor speeds of the first and the second gear at t_{NEDC_i} are, respectively, denoted as $n_{M_NEDC_1_i}$ and $n_{M_NEDC_2_i}$, and

$$n_{M_NEDC_1_i} = \frac{i_1 v_{NEDC_i}}{r_{wheel}} \tag{50}$$

$$n_{M_NEDC_2_i} = \frac{i_2 v_{NEDC_i}}{r_{wheel}} \tag{51}$$

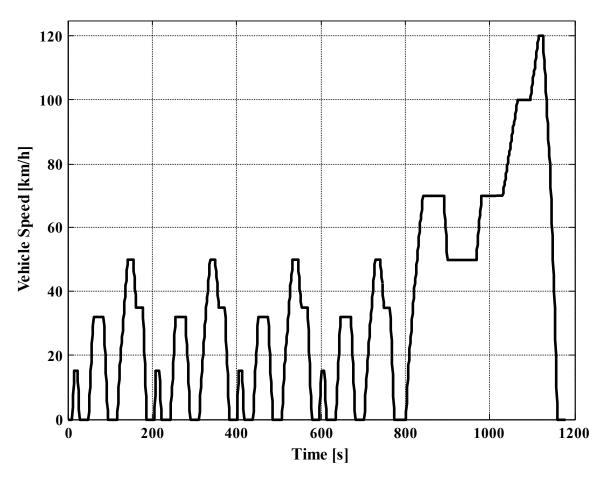


Figure 8. New European Driving Cycle (NEDC).

Energies 2017, 10, 1362 14 of 24

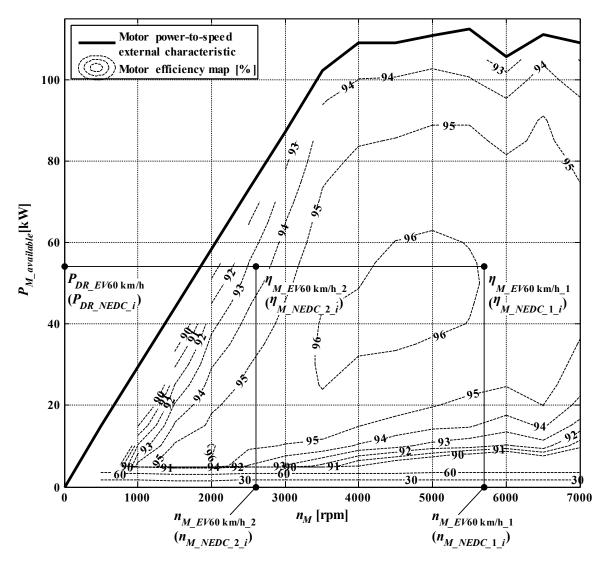


Figure 9. Motor efficiency map.

Then, $\eta_{M_NEDC_1_i}$ and $\eta_{M_NEDC_2_i}$, respectively, the motor efficiencies of the first and the second gear at t_{NEDC_i} , can be obtained based on the motor efficiency map (see Figure 9) with $P_{DR_NEDC_i}$, $n_{M_NEDC_1_i}$ and $n_{M_NEDC_2_i}$. In addition, $P_{M_NEDC_max_1_i}$ and $P_{M_NEDC_max_2_i}$, respectively, the maximum available motor powers of the first and the second gear at t_{NEDC_i} , can be obtained based on the motor power-to-speed external characteristic (see Figure 3). Symmetrically, $P_{M_NEDC_min_1_i}$ and $P_{M_NEDC_min_2_i}$, respectively, the minimum available motor powers of the first and the second gear at t_{NEDC_i} , can be obtained by

$$P_{M_NEDC_min_1_i} = -P_{M_NEDC_max_1_i}$$
(52)

$$P_{M_NEDC_min_2_i} = -P_{M_NEDC_max_2_i}$$
(53)

Besides, $T_{M_NEDC_1_i}$ and $T_{M_NEDC_2_i}$, respectively, the required motor torques of the first and the second gear at t_{NEDC_i} , can be obtained by

$$T_{M_NEDC_1_i} = \frac{T_{DR_NEDC_i}}{i_1} \tag{54}$$

$$T_{M_NEDC_1_i} = \frac{T_{DR_NEDC_i}}{i_1} \tag{55}$$

Energies **2017**, *10*, 1362

where $T_{DR_NEDC_i}$ is the driving resistance torque of the EV at t_{NEDC_i} , and

$$T_{DR_NEDC_i} = \left[sgn(v_{NEDC_i}) f m_{gross} g + 0.5 C_D \rho A (v_{NEDC_i} - v_{air})^2 + m_{gross} a_{NEDC_i} \right] r_{wheel}$$
 (56)

The following procedure decides the working gear at t_{NEDC_i} :

Step 1: If

$$\begin{cases} v_{NEDC_i} = 0 \text{ km/h} \\ v_{NEDC_i+1} = 0 \text{ km/h} \end{cases}$$
 (57)

then the working gear at t_{NEDC_i} is the neutral gear,

$$\begin{cases} n_{M_NEDC_i} = 0 \text{ rpm} \\ T_{M_NEDC_i} = 0 \text{ Nm} \\ \eta_{M_NEDC_i} = 0 \end{cases}$$
(58)

where $n_{M_NEDC_i}$ and $T_{M_NEDC_i}$ are, respectively, the motor speed and the motor torque at t_{NEDC_i} , and the next step is Step 4. Otherwise, the working gear at t_{NEDC_i} is not the neutral gear and the next step is Step 2.

Step 2: If

$$\begin{cases}
n_{M_NEDC_1_i} \leq n_{Mmax} \\
P_{M_NEDC_min_1_i} \leq P_{DR_NEDC_i} \leq P_{M_NEDC_max_1_i} \\
\eta_{M_NEDC_1_i} \geq \eta_{M_NEDC_2_i}
\end{cases} (59)$$

then the working gear at t_{NEDC} i is the first gear,

$$\begin{cases} n_{M_NEDC_i} = n_{M_NEDC_1_i} \\ T_{M_NEDC_i} = T_{M_NEDC_1_i} \\ \eta_{M_NEDC_i} = \eta_{M_NEDC_1_i} \end{cases}$$

$$(60)$$

and the next step is Step 4. Otherwise, the working gear at t_{NEDC_i} is not the first gear and the next step is Step 3.

Step 3: If

$$\begin{cases}
 n_{M_NEDC_2_i} \le n_{M\max} \\
 P_{M_NEDC_\min_2_i} \le P_{DR_NEDC_i} \le P_{M_NEDC_\max_2_i}
\end{cases}$$
(61)

then the working gear at t_{NEDC_i} is the second gear,

$$\begin{cases}
n_{M_NEDC_i} = n_{M_NEDC_2_i} \\
T_{M_NEDC_i} = T_{M_NEDC_2_i} \\
\eta_{M_NEDC_i} = \eta_{M_NEDC_2_i}
\end{cases}$$
(62)

and the next step is Step 4. Otherwise, the working gear at $t_{NEDC,i}$ is not the second gear,

$$W_{NEDC} = 0 \text{ kWh} \tag{63}$$

and the calculation of W_{NEDC} for the current element of S_{acc} stops and that for the next element (if exists) starts.

Step 4: If

$$\begin{cases}
v_{NEDC_i} = 0 \text{ km/h} \\
v_{NEDC_i+1} \neq 0 \text{ km/h}
\end{cases}$$
(64)

then t_{NEDC_i} is a start-up instance for the EV and the next step is Step 5. Otherwise, t_{NEDC_i} is not a start-up instance for the EV and the next step is Step 6.

Energies 2017, 10, 1362 16 of 24

$$T_{M_NEDC_1_i} \le T_{M_startup_max} \tag{65}$$

where $T_{M_startup_max}$ is the maximum motor start-up torque, then the motor start-up torque demand at t_{NEDC_i} can be met and the next step is Step 6. Otherwise, the motor start-up torque demand at t_{NEDC_i} cannot be met,

$$W_{NEDC} = 0 \text{ kWh} \tag{66}$$

and the calculation of W_{NEDC} for the current element of S_{acc} stops and that for the next element (if exists) starts.

Step 6: The procedure of deciding the working gear at $t_{NEDC\ i}$ ends.

According to Table 1, the energy consumptions of the EV should be constrained by

$$\begin{cases}
W_{60 \text{ km/h}} \le 16 \text{ kWh} \\
0 < W_{NEDC} \le 18 \text{ kWh}
\end{cases}$$
(67)

Therefore, S_{ener} , the set of feasible tooth number combinations of G1, G2 and G3 with consideration on the energy consumption, can be expressed as

$$S_{ener} = \left\{ (x_1, x_2, x_3, x_4, x_5, x_6) \middle| \left\{ \begin{array}{l} W_{60 \text{ km/h}} \le 16 \text{ kWh} \\ 0 < W_{NEDC} \le 18 \text{ kWh} \\ (x_1, x_2, x_3, x_4, x_5, x_6) \in S_{acc} \end{array} \right\}$$
 (68)

Figure 10 shows the scheme of the enumeration for the energy consumptions.

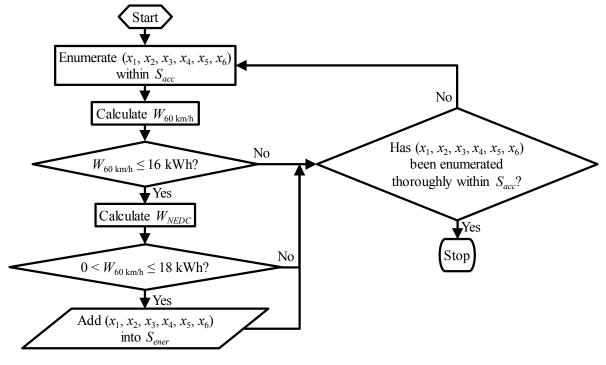


Figure 10. Scheme of the enumeration for the energy consumptions.

3.6. Safety Factor

The strength safety factors of all the six gears are criteria for evaluating the load capacity of the 2DET. The 200,000 km NEDC is used as the operating condition for the fatigue strength check of the

Energies 2017, 10, 1362 17 of 24

2DET. For each element of S_{ener} , along with the calculation of W_{NEDC} , the time history of the G11, the G21 and the G31 speed can be acquired by

$$n_{G11_NEDC_i} = \frac{i_1 v_{NEDC_i}}{r_{wheel}} = n_{M_NEDC_1_i}$$

$$n_{G21_NEDC_i} = \frac{i_2 v_{NEDC_i}}{r_{wheel}} = n_{M_NEDC_2_i}$$

$$n_{G31_NEDC_i} = \frac{i_{G3} v_{NEDC_i}}{r_{wheel}}$$
(70)

$$n_{G21_NEDC_i} = \frac{i_2 v_{NEDC_i}}{r_{wheel}} = n_{M_NEDC_2_i}$$
 (70)

$$n_{G31_NEDC_i} = \frac{i_{G3}v_{NEDC_i}}{r_{wheel}} \tag{71}$$

where $n_{G11_NEDC_i}$, $n_{G21_NEDC_i}$ and $n_{G31_NEDC_i}$ are, respectively, the G11, the G21 and the G31 speed at t_{NEDC} i. Besides, the time history of the G11, the G21 and the G31 torque can be acquired by

$$T_{G11_NEDC_i} = \begin{cases} \frac{T_{DR_NEDC_i}}{i_1} = T_{M_NEDC_1_i} & \text{if the working gear at } t_{NEDC_i} \text{ is the first gear} \\ 0 \text{ Nm} & \text{otherwise} \end{cases}$$
(72)

$$T_{G21_NEDC_i} = \begin{cases} \frac{T_{DR_NEDC_i}}{i_2} = T_{M_NEDC_2_i} & \text{if the working gear at } t_{NEDC_i} \text{ is the sec ond gear} \\ 0 \text{ Nm} & \text{otherwise} \end{cases}$$

$$T_{G31_NEDC_i} = \frac{T_{DR_NEDC_i}}{i_{G3}}$$
 (73)

where $T_{G11_NEDC_i}$, $T_{G21_NEDC_i}$ and $T_{G31_NEDC_i}$ are, respectively, the G11, the G21 and the G31 torque at t_{NEDC} i. Based on ISO 6336-6: 2006(E) [28], the equivalent speeds and the equivalent torques of G11, G21 and G31 in the 100 km NEDC are calculated, regarded as the same with those in the 200,000 km NEDC for simplifying the fatigue strength check. Then, for each combination of x_7 and x_8 , the contact safety factors of G11, G12, G21, G22, G31 and G32, respectively, denoted as S_{G11_H} , S_{G12_H} , $S_{G21\ H}$, $S_{G22\ H}$, $S_{G31\ H}$ and $S_{G32\ H}$, and the bending safety factors of G11, G12, G21, G22, G31 and G32, respectively, denoted as S_{G11_F} , S_{G12_F} , S_{G21_F} , S_{G21_F} , S_{G31_F} and S_{G32_F} , can be calculated according to GB/T 3480-1997 [29]. These safety factors should be constrained by

$$\begin{cases}
S_{G11_H}, S_{G12_H}, S_{G21_H}, S_{G22_H}, S_{G31_H}, S_{G32_H} \ge 1.10 \\
S_{G11_F}, S_{G12_F}, S_{G21_F}, S_{G31_F}, S_{G32_F} \ge 1.25
\end{cases}$$
(75)

Therefore, S_{str}, the set of feasible tooth number and module combinations of G1, G2 and G3 with consideration on the strength, can be expressed as

$$S_{str} = \left\{ (x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8) \middle| \begin{cases} S_{G11_H}, S_{G12_H}, S_{G21_H}, S_{G21_H}, S_{G31_H}, S_{G32_H} \ge 1.10 \\ S_{G11_F}, S_{G12_F}, S_{G21_F}, S_{G22_F}, S_{G31_F}, S_{G32_F} \ge 1.25 \\ (x_1, x_2, x_3, x_4, x_5, x_6) \in S_{ener} \\ x_7 \in S_{G1G2module} \\ x_8 \in S_{G3module} \end{cases} \right\}$$

$$(76)$$

Figure 11 shows the scheme of the enumeration for the safety factors.

Energies 2017, 10, 1362 18 of 24

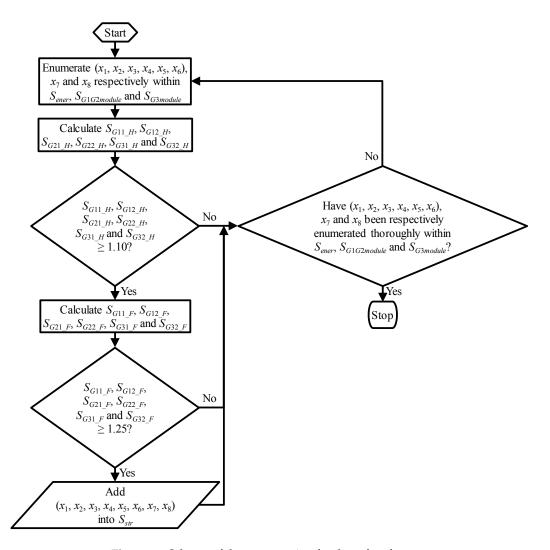


Figure 11. Scheme of the enumeration for the safety factors.

3.7. Dimension

For each element of S_{str} , several dimensions can be obtained by

$$\begin{cases} r_{G11} = \frac{x_1 x_7}{2\cos 18^{\circ}} \\ r_{G12} = \frac{x_2 x_7}{2\cos 18^{\circ}} \\ r_{G21} = \frac{x_3 x_7}{2\cos 18^{\circ}} \\ r_{G21} = \frac{x_4 x_7}{2\cos 18^{\circ}} \\ r_{G22} = \frac{x_4 x_7}{2\cos 18^{\circ}} \\ r_{G31} = \frac{x_5 x_8}{2\cos 18^{\circ}} \\ r_{G32} = \frac{x_6 x_8}{2\cos 18^{\circ}} \\ a_1 = r_{G11} + r_{G12} = r_{G21} + r_{G22} \\ a_2 = r_{G31} + r_{G32} \end{cases}$$

$$(77)$$

where r_{G11} , r_{G21} , r_{G21} , r_{G22} , r_{G31} and r_{G32} are, respectively, the reference radii of G11, G12, G21, G22, G31 and G32, and a_1 and a_2 , respectively, the center distances of G1 (or G2) and G3. To avoid interference, the following constraints apply:

$$r_{\rm G31} < a_1 \tag{78}$$

$$\max(r_{G12}, r_{G22}) < a_2 \tag{79}$$

Energies 2017, 10, 1362 19 of 24

Moreover, the EV arrangement requires that

$$a_1 + a_2 \ge d_{MG32} \tag{80}$$

where d_{MG32} is the distance between the rotation axis of the input shaft and that of G32. Therefore, S_{dim} , the set of feasible tooth number and module combinations of G1, G2 and G3 with consideration on the dimension, can be expressed as

$$S_{dim} = \left\{ (x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8) \middle| \begin{cases} r_{G31} < a_1 \\ \max(r_{G12}, r_{G22}) < a_2 \\ a_1 + a_2 \ge d_{MG32} \\ (x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8) \in S_{str} \end{cases}$$
(81)

For each element of S_{dim} , the radial length L, representing the size of the GT, can be obtained by

$$L = a_1 + a_2 + r_{G32} + \max(r_{G11}, r_{G21})$$
(82)

Figure 12 shows the scheme of the enumeration for the dimensions.

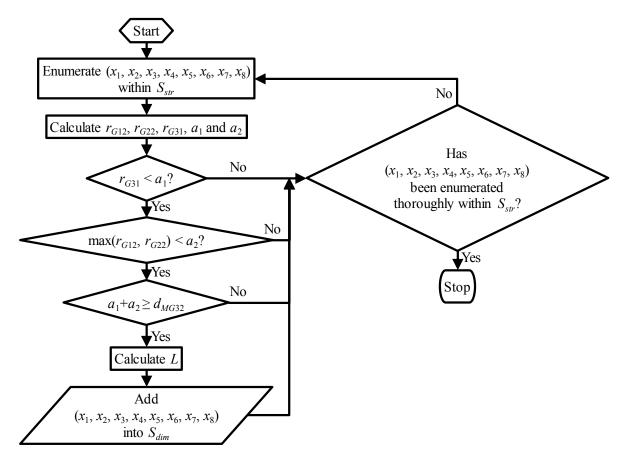


Figure 12. Scheme of the enumeration for the dimensions.

4. MMPO

The optimization method used in this paper is based on the MMPO [14]. According to Osyczka [14], for a multi-criterion optimization problem, the MMPO assumes that none of the criteria

Energies 2017, 10, 1362 20 of 24

change sign and none are equal to zero within the feasible region, and that all the criteria are to be minimized. Based on these assumptions, the fractional increment is defined as [14]

$$FI_i(X) = \frac{C_i(X)}{C_{i,\min}} - 1 \ge 0 \ (X \in S, S \ne \emptyset, i = 1, 2, ..., n, n \ge 2)$$
 (83)

where X is a feasible solution of the multi-criterion optimization problem; S the feasible region of the multi-criterion optimization problem; \emptyset the empty set; n the number of the criteria, $FI_i(X)$ and $C_i(X)$, respectively, the fractional increment and the value of the i-th criterion of X; and $C_{i,\min}$ the minimum of the i-th criterion. $C_{i,\min}$ can be obtained by solving the following single-criterion optimization problem

$$\min \quad f(X) = C_i(X) \\
X \in S \tag{84}$$

where f(X) is the objective function of the single-criterion optimization problem. $FI_i(X)$ represents how much $C_i(X)$ deviates from $C_{i,\min}$. For each $X \in S$, $FI_1(X)$, $FI_2(X)$, ..., and $FI_n(X)$ are anew denoted as $SFI_1(X)$, $SFI_2(X)$..., and $SFI_n(X)$, and

$$SFI_1(X) \ge SFI_2(X) \ge \dots \ge SFI_n(X)$$
 (85)

Using $SFI_i(X)$ (i = 1, 2, ..., n), the following procedure decides X*, the global optimum of the multi-criterion optimization problem:

Step 1: Set p=1, where p is a temporary variable. Solve the following single-criterion optimization problem

where $f_1(X)$ is the objective function of the single-criterion optimization problem. Denote the set of the optima of the single-criterion optimization problem as S_1 . If only one optimum is obtained, go to Step n + 1. Otherwise, go to Step 2.

Step 2: Set p = 2. Solve the following single-criterion optimization problem

$$\min \quad f_2(X) = SFI_2(X)$$

$$X \in S_1$$
(87)

where $f_2(X)$ is the objective function of the single-criterion optimization problem. Denote the set of the optima of the single-criterion optimization problem as S_2 . If only one optimum is obtained, go to Step n + 1. Otherwise, go to Step 3.

:

Step $k(k \ge 2)$: Set p = k. Solve the following single-criterion optimization problem

$$\min \quad f_k(X) = SFI_k(X)$$

$$X \in S_{k-1}$$
(88)

where $f_k(X)$ is the objective function of the single-criterion optimization problem. Denote the set of the optima of the single-criterion optimization problem as S_k . If only one optimum is obtained, go to Step n + 1. Otherwise, go to Step k + 1.

:

Step n: Set p = n. Solve the following single-criterion optimization problem

$$\min \quad f_n(X) = SFI_n(X)
X \in S_{n-1}$$
(89)

Energies 2017, 10, 1362 21 of 24

where $f_n(X)$ is the objective function of the single-criterion optimization problem. Denote the set of the optima of the single-criterion optimization problem as S_n . Go to Step n + 1.

Step n+1: Every element in S_v is the global optimum of the multi-criterion optimization problem. The procedure of deciding X* ends.

For a better comprehension of how the MMPO and the fractional increment work, an additional example is presented by Tables 2 and 3. Table 3 indicates that (0, 0) is the global optimum of the example.

Table 2. Example of the optimization with the Min-Max Principle of Optimality (MMPO): data preparation.

$X(X \in S)$	$C_1(X)$	$C_2(X)$	$FI_1(X)$	$FI_2(X)$	$SFI_1(X)$	$SFI_2(X)$
(0, 0)	$1(C_{1,\min})$	3	0	2	2	0
(0, 1)	3	2	2	1	2	1
(1, 0)	4	$1(C_{2,\min})$	3	0	3	0

Table 3. Example of the optimization with the MMPO: results of Steps 1 and 2.

$X(X \in S_1)$	$f_1(X)$	$X(X \in S_2)$	$f_2(X)$
(0, 0)	2	(0, 0)	0
(0, 1)	2	-	-

To optimize the GT of the 2DET, the acceleration time $t_{acc_100 \text{ km/h}}$, the energy consumptions $W_{60 \text{ km/h}}$ and W_{NEDC} , and the radial length L need to be minimized, while the safety factors S_{G11_H} , $S_{G12_H}, S_{G21_H}, S_{G22_H}, S_{G31_H}, S_{G32_H}, S_{G11_F}, S_{G12_F}, S_{G21_F}, S_{G21_F}, S_{G31_F}$ and S_{G32_F} need to be maximized. Since these criteria need to be optimized simultaneously by the MMPO, the maximization problems for the safety factors are converted to the minimization problems for $\frac{1}{S_{G11_H}}$, $\frac{1}{S_{G12_H}}$, $\frac{1}{S_{G21_H}}$, $\frac{1}{S_{G22_H}}$, $\frac{1}{S_{G31_H}}$, $\frac{1}{S_{G32_H}}$, $\frac{1}{S_{G11_F}}$, $\frac{1}{S_{G11_F}}$, $\frac{1}{S_{G21_F}}$, $\frac{1}{S_{G21_F}}$ and $\frac{1}{S_{G32_F}}$.

Due to the limited space, the detail of implementing the MMPO to the GTOP of the 2DET, based

on the results from the multi-stage enumeration in Section 3 is not presented.

5. Results and Discussion

The total number of possible solutions can be up to 3.1617×10^{12} . Besides, the preceding text shows that most of the constraints and the objective functions are highly nonlinear and discontinuous, and are difficult to be expressed analytically. However, the number of the elements of S_{dim} is only 4.55306×10^5 , sufficiently demonstrating that the multi-stage enumeration is effective in determining the feasible region of the large, non-linear, discontinuous and discrete GTOP of the 2DET.

 $(x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8)$ *, the global optimum of the GTOP of the 2DET, is obtained as

$$(x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8) = (23, 60, 35, 48, 21, 82, 2.00 \text{ mm}, 3.00 \text{ mm})$$
 (90)

Obviously, the global optimum is directly practical and applicable, requiring little work for further parameterization of the GT of the 2DET.

The minimum and the optimal value of each criterion and the fractional increments of the global optimum are listed in Table 4. Furthermore, the computational process (not displayed) of the MMPO only tackles the objective function of Step 1 but not those of other steps. The optimal value of the objective function of Step 1 is only 0.2758, indicating that the global optimum is quite satisfying and that the MMPO is effective to simultaneously and globally optimize multiple criteria of the same importance.

Energies 2017, 10, 1362 22 of 24

Table 4. Results of the gear train optimization problem (GTOP) of the two-speed dedicated electric
transmission (2DET).

Criterion	Minimum	Optimal Value	Fractional Increment
t_{acc} 100 km/h	10.4 s	10.5 s	0.0096
$W_{60 \text{ km/h}}$	13.1759 kWh	13.4033 kWh	0.0173
W_{NEDC}	15.7679 kWh	15.7760 kWh	0.0005
L	325.9533 mm	415.8533 mm	0.2758
$rac{1}{S_{G11_H}}$	0.3089	0.3830	0.2398
$\frac{1}{S_{G12_H}}$	0.3042	0.3804	0.2505
$\frac{1}{S_{G_{21}^{-H}}}$	0.2820	0.3285	0.1648
$\frac{\overline{1}^{-1}}{S_{G22_H}}$	0.2803	0.3271	0.1671
$\frac{\overline{1}^{-1}}{S_{G31_H}}$	0.2696	0.3385	0.2553
$\frac{\overline{S_{-1}}}{\overline{S_{G32}}_{-H}}$	0.2654	0.3368	0.2690
$\frac{\frac{1}{S_{G11}F}}{S_{G11}F}$	0.1483	0.1880	0.2678
	0.1424	0.1743	0.2239
$\frac{\overline{S_{G12}F}}{\frac{1}{S_{G21}F}}$	0.1418	0.1589	0.1202
$\frac{S_{G21_F}}{\frac{1}{S_{G22_F}}}$	0.1397	0.1556	0.1134
$\frac{\overline{S_{G22_F}}}{\frac{1}{S_{G31_F}}}$	0.1290	0.1542	0.1955
$\frac{1}{S_{G32_F}}$	0.1177	0.1396	0.1861

6. Conclusions

In this paper, a discrete GTOP of a 2DET for an EV was described. Accordingly, an EOP incorporating the MMPO was proposed to solve the GTOP directly and globally. The EOP tackled one type of constraints to reduce the computational load for the next as much as possible, and the sequence of applying the constraints was dedicatedly designed. The constraints consisted of the requirements of manufacture and operation and the requirements of the EV. Resultantly, only 4.55306×10^5 feasible solutions out of 3.1617×10^{12} possible solutions were reserved for the implementation of the MMPO, sufficiently demonstrating that the EOP is effective in determining the feasible region of the GTOP. The global optimum was quite satisfying in terms of the performance of the EV, the load capacity of the gears and the size of the GT, indicating that the EOP is effective to simultaneously and globally optimize multiple criteria of the same importance.

The idea of combining multi-stage enumeration with optimization, as the EOP shows, may be helpful to optimize the GTs in various applications and provide the global optima which are immediately practical and applicable.

Acknowledgments: This work was supported by the National Natural Science Foundation of China (grant number 51405010) and the National Aerospace Science Foundation of China (grant number 2015ZA51003).

Author Contributions: Xiangyang Xu led the research scheme; Zhifeng Chen investigated relevant research; Zhifeng Chen, Yanjing Liu and Peng Dong designed the EOP; Zhifeng Chen and Yanjing Liu conducted the EOP; Zhifeng Chen and Peng Dong analyzed the data; Zhifeng Chen wrote the paper; and Yanfang Liu checked the whole research.

Conflicts of Interest: The authors declare no conflict of interest. The funding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

References

- 1. White, G.; Sanger, D.J. Design Procedure and Computed Solutions for a Nine-Speed Gear Train Employing Ten Gears. *Int. J. Mach. Tool Des. Res.* **1968**, *8*, 141–157. [CrossRef]
- 2. Osman, M.O.M.; Sankar, S.; Dukkipati, R.V. Design Synthesis of a Multi-Speed Machine Tool Gear Transmission Using Multiparameter Optimization. *J. Mech. Des.* **1978**, *100*, 303–310. [CrossRef]

Energies 2017, 10, 1362 23 of 24

3. Savage, M.; Coy, J.J.; Townsend, D.P. Optimal Tooth Numbers for Compact Standard Spur Gear Sets. *J. Mech. Des.* **1982**, 104, 749–758. [CrossRef]

- 4. Carroll, R.K.; Johnson, G.E. Dimensionless Solution to the Optimal Design of Spur Gear Sets. *J. Mech. Des.* **1989**, 111, 290–296. [CrossRef]
- 5. Pomrehn, L.P.; Papalambros, P.Y. Discrete Optimal Design Formulations with Application to Gear Train Design. *J. Mech. Des.* **1995**, *117*, 419–424. [CrossRef]
- 6. Pomrehn, L.P.; Papalambros, P.Y. Infeasibility and Non-Optimality Tests for Solution Space Reduction in Discrete Optimal Design. *J. Mech. Des.* **1995**, *117*, 425–432. [CrossRef]
- 7. Rao, S.S.; Eslampour, H.R. Multistage Multiobjective Optimization of Gearboxes. *J. Mech. Transm. Autom. Des.* **1986**, *108*, 461–468. [CrossRef]
- 8. Marjanovic, N.; Isailovic, B.; Marjanovic, V.; Milojevic, Z.; Blagojevic, M.; Bojic, M. A Practical Approach to the Optimization of Gear Trains with Spur Gears. *Mech. Mach. Theory* **2012**, *53*, 1–16. [CrossRef]
- 9. Swantner, A.; Campbell, M.I. Topological and Parametric Optimization of Gear Trains. *Eng. Optim.* **2012**, *44*, 1351–1368. [CrossRef]
- 10. Wang, H.; Wang, H. Optimal Engineering Design of Spur Gear Sets. *Mech. Mach. Theory* **1994**, 29, 1071–1080. [CrossRef]
- 11. Huang, H.; Tian, Z.; Zuo, M.J. Multiobjective Optimization of Three-Stage Spur Gear Reduction Units Using Interactive Physical Programming. *J. Mech. Sci. Technol.* **2005**, *19*, 1080–1086. [CrossRef]
- 12. Zarefar, H.; Muthukrishnan, S.N. Computer-Aided Optimal Design via Modified Adaptive Random-Search Algorithm. *Comput.-Aided Des.* **1993**, 25, 240–248. [CrossRef]
- 13. Thompson, D.F.; Gupta, S.; Shukla, A. Tradeoff Analysis in Minimum Volume Design of Multi-Stage Spur Gear Reduction Units. *Mech. Mach. Theory* **2000**, *35*, 609–627. [CrossRef]
- 14. Osyczka, A. An Approach to Multicriterion Optimization Problems for Engineering Design. *Comput. Methods Appl. Mech. Eng.* **1978**, *15*, 309–333. [CrossRef]
- 15. Abuid, B.A.; Ameen, Y.M. Procedure for Optimum Design of a Two-Stage Spur Gear System. *JSME Int. J. Ser. C Mech. Syst. Mach. Elem. Manuf.* **2003**, 46, 1582–1590. [CrossRef]
- 16. Yokota, T.; Taguchi, T.; Gen, M. A Solution Method for Optimal Weight Design Problem of the Gear Using Genetic Algorithms. *Comput. Ind. Eng.* **1998**, *35*, 523–526. [CrossRef]
- 17. Marcelin, J.L. Genetic Optimisation of Gears. Int. J. Adv. Manuf. Technol. 2001, 17, 910–915. [CrossRef]
- 18. Mendi, F.; Başkal, T.; Boran, K.; Boran, F.E. Optimization of Module, Shaft Diameter and Rolling Bearing for Spur Gear through Genetic Algorithm. *Expert Syst. Appl.* **2010**, *37*, 8058–8064. [CrossRef]
- 19. Gologlu, C.; Zeyveli, M. A Genetic Approach to Automate Preliminary Design of Gear Drives. *Comput. Ind. Eng.* **2009**, *57*, 1043–1051. [CrossRef]
- Deb, K.; Pratap, A.; Moitra, S. Mechanical Component Design for Multiple Objectives Using Elitist Non-Dominated Sorting GA. In Proceedings of the International Conference on Parallel Problem Solving from Nature, Paris, France, 18–20 September 2000; Schoenauer, M., Deb, K., Rudolph, G., Yao, X., Lutton, E., Merelo, J.J., Schwefel, H., Eds.; Springer: Berlin, Germany, 2000; pp. 859–868.
- 21. Deb, K.; Jain, S. Multi-Speed Gearbox Design Using Multi-Objective Evolutionary Algorithms. *J. Mech. Des.* **2003**, *125*, 609–619. [CrossRef]
- 22. Chong, T.H.; Bae, I.; Park, G. A New and Generalized Methodology to Design Multi-Stage Gear Drives by Integrating the Dimensional and the Configuration Design Process. *Mech. Mach. Theory* **2002**, *37*, 295–310. [CrossRef]
- 23. Savsani, V.; Rao, R.V.; Vakharia, D.P. Optimal Weight Design of a Gear Train Using Particle Swarm Optimization and Simulated Annealing Algorithms. *Mech. Mach. Theory* **2010**, 45, 531–541. [CrossRef]
- 24. *Handbook of Automobile Engineering–Design*; China Communications Press: Beijing, China, 2001; p. 453, ISBN 7-114-03930-1. (In Chinese)
- 25. *Handbook of Mechanical Design–Reducers and Transmissions*; China Machine Press: Beijing, China, 2007; pp. 255–279. ISBN 978-7-111-20971-3. (In Chinese)
- 26. *GB/T 18385-2005, Electric Vehicles–Power Performance–Test Method*; Standards Press of China: Beijing, China, 2005. (In Chinese)
- 27. *GB/T 18386-2005, Electric Vehicles–Energy Consumption and Range–Test Procedures;* Standards Press of China: Beijing, China, 2005. (In Chinese)

Energies **2017**, 10, 1362 24 of 24

28. ISO 6336-6: 2006(E), Calculation of Load Capacity of Spur and Helical Gears—Part 6: Calculation of Service Life under Variable Load; ISO: Geneva, Switzerland, 2006.

29. *GB/T 3480-1997, Calculation Methods of Load Capacity for Involute Cylindrical Gears*; Standards Press of China: Beijing, China, 1997. (In Chinese)



© 2017 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).